

# $\ell$ -adic representation attached to an elliptic curve

Langlands'  
functoriality  
conjecture

Endoscopy theory  
and applications

The trace formula  
and local harmonic  
analysis

The Hitchin  
fibration

- ▶ Let  $E$  be an elliptic curve defined over  $\mathbb{Q}$ .

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Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

- ▶ Let  $E$  be an elliptic curve defined over  $\mathbb{Q}$ .
- ▶ For any prime number  $\ell$ , the Galois group  $\text{Gal}(\bar{\mathbb{Q}}/\mathbb{Q})$  acts on the group  $E[\ell] \sim (\mathbb{Z}/\ell\mathbb{Z})^2$  of  $\ell$ -torsion points as well as on the group  $E[\ell^n] \sim (\mathbb{Z}/\ell^n\mathbb{Z})^2$  of  $\ell^n$ -torsion points of  $E$ .

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Endoscopy theory and applications

The trace formula and local harmonic analysis

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- ▶ All together, these actions define a 2-dimensional  $\ell$ -adic representation  $\rho_E : \text{Gal}(\bar{\mathbb{Q}}/\mathbb{Q}) \rightarrow \text{GL}_2(\mathbb{Q}_\ell)$ .

# Reduction modulo $p$

- ▶ For all but finitely many prime  $p$ ,  $E$  can be reduced to an elliptic curve  $E_p$  defined over  $\mathbb{F}_p$ .

Langlands'  
functoriality  
conjecture

Endoscopy theory  
and applications

The trace formula  
and local harmonic  
analysis

The Hitchin  
fibration

# Reduction modulo $p$

- ▶ For all but finitely many prime  $p$ ,  $E$  can be reduced to an elliptic curve  $E_p$  defined over  $\mathbb{F}_p$ .
- ▶ For those  $p$ , the Frobenius element  $\text{Fr}_p \in \text{Gal}(\bar{\mathbb{F}}_p/\mathbb{F}_p)$  defines a conjugacy class  $\rho_E(\text{Fr}_p)$  in  $\text{GL}_2(\mathbb{Q}_\ell)$ .

Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

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- ▶ The number of  $\mathbb{F}_p$ -points on  $E_p$  can be calculated by the formula  $1 + p - \text{tr}(\rho_E(\text{Fr}_p))$ .

Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

# A theorem of Wiles

- ▶ There exists a weight two modular eigenform  $f = q + a_2q^2 + a_3q^3 + \dots$

Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

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- ▶ such that  $a_p = \text{tr}(\rho_E(\text{Fr}_p))$  for the prime  $p$  as above.

Langlands'  
functoriality  
conjecture

Endoscopy theory  
and applications

The trace formula  
and local harmonic  
analysis

The Hitchin  
fibration



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- ▶ such that  $a_p = \text{tr}(\rho_E(\text{Fr}_p))$  for the prime  $p$  as above.
- ▶ This is a theorem of Wiles, Taylor-Wiles, Breuil-Conrad-Diamond-Taylor.

Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

# Nonabelian reciprocity law

- ▶ This is a typical example of more general nonabelian reciprocity law between irreducible Galois representation and cuspidal automorphic forms for  $GL_n$ .

Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

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Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

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Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

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- ▶ The number field case is more difficult even to state because there are automorphic forms that do not correspond to Galois representations.
- ▶ Since, classically, automorphic forms live on hermitian symmetric domain, it is more natural to include automorphic forms on arbitrary reductive group in the picture.

Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

# Dual reductive groups

- ▶ A root datum  $(X, X^\vee, \Phi, \Phi^\vee)$  is attached to any split reductive group  $G$ .

Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

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Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

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- ▶  $GL_n \leftrightarrow GL_n, SL_n \leftrightarrow PGL_n, SO_{2n} \leftrightarrow SO_{2n},$   
 $Sp_{2n} \leftrightarrow SO_{2n+1},$

Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration



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- ▶  $GL_n \leftrightarrow GL_n, SL_n \leftrightarrow PGL_n, SO_{2n} \leftrightarrow SO_{2n},$   
 $Sp_{2n} \leftrightarrow SO_{2n+1},$
- ▶ Unramified representations of  $G(F_v)$ ,  $F_v$  being a nonarchimedean local field are classified by semisimple conjugacy classes of  $\hat{G}(\mathbb{C})$

Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

# Automorphic representations

- ▶ For every absolute value  $v$  of a global field  $F$ , let  $F_v$  be the completion. The ring of adeles is the restricted product  $\mathbb{A} = \prod'_v F_v$ .

Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

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- ▶ Irreducible subrepresentations of  $G(\mathbb{A})$  in  $L^2(G(F)\backslash G(\mathbb{A}))_\chi$  are said to be automorphic with unitary central character  $\chi$ .

Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

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- ▶ They are of the form  $\pi = \bigotimes_v \pi_v$ . For every finite prime  $v$ ,  $\pi_v$  is an unitary admissible representation of  $G(F_v)$ . For all but finitely many  $v$ ,  $\pi_v$  are unramified.

Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

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- ▶ It is important to understand in which circumstance, the tensor product of local representation  $\pi_v$  is automorphic. Langlands' prediction is based on an elaborated form of Galois group.

Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

# Langlands' reciprocity conjecture

- ▶  $G$  is assumed to be split for simplicity.

Langlands'  
functoriality  
conjecture

Endoscopy theory  
and applications

The trace formula  
and local harmonic  
analysis

The Hitchin  
fibration

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- ▶  $G$  is assumed to be split for simplicity.
- ▶  $L_{F_v}$  is an extension of the local Weil groups  $W_{F_v}$  by a compact Lie group.

Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

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Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration



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- ▶ There should be an extension  $L_F$  of the global Weil group  $W_F$  with homomorphism  $L_{F_v} \rightarrow L_F$  well defined up to conjugacy.

Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

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- ▶ There should be an extension  $L_F$  of the global Weil group  $W_F$  with homomorphism  $L_{F_v} \rightarrow L_F$  well defined up to conjugacy.
- ▶ Tempered automorphic representations  $\pi$  are parametrized by homomorphisms  $\phi : L_F \rightarrow \hat{G}$ . Local parameters  $\phi_v : L_{F_v} \rightarrow \hat{G}$  are obtained by restricting  $\phi$  to  $L_{F_v}$ .

Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

# Langlands' functoriality conjecture

- ▶ Let  $\rho : \hat{H} \rightarrow \hat{G}$  be a homomorphism.

Langlands'  
functoriality  
conjecture

Endoscopy theory  
and applications

The trace formula  
and local harmonic  
analysis

The Hitchin  
fibration

# Langlands' functoriality conjecture

- ▶ Let  $\rho : \hat{H} \rightarrow \hat{G}$  be a homomorphism.
- ▶ For every automorphic representation  $\pi_H = \bigotimes_v \pi_{H,v}$  of  $H$ , there exists an automorphic representation  $\pi = \bigotimes_v \pi_v$  of  $G$  such that

Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

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Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

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- ▶ if at an unramified place  $v$ ,  $\pi_{H,v}$  is parametrized by a semisimple conjugacy class  $s_v \in \hat{H}$ , then  $\pi_v$  is also unramified and parametrized by the conjugacy class  $\rho(s_v)$ .

# Functoriality's consequences

- ▶ The functoriality conjecture does not depend on the existence of the group  $L_F$ . In fact, we expect the existence of  $L_F$  follows from functoriality.

Langlands'  
functoriality  
conjecture

Endoscopy theory  
and applications

The trace formula  
and local harmonic  
analysis

The Hitchin  
fibration

# Functoriality's consequences

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- ▶ Many deep conjectures are also consequences of functoriality : general form of the Ramanujan conjecture, the Sato-Tate conjecture, the Artin conjecture ...

Langlands'  
functoriality  
conjecture

Endoscopy theory  
and applications

The trace formula  
and local harmonic  
analysis

The Hitchin  
fibration

# Known approaches

- ▶ Analytic method via the converse theorem and integral representation of  $L$ -functions.

Langlands'  
functoriality  
conjecture

Endoscopy theory  
and applications

The trace formula  
and local harmonic  
analysis

The Hitchin  
fibration



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- ▶ Analytic method via the converse theorem and integral representation of  $L$ -functions.
- ▶  $p$ -adic method : recent proof due to Taylor and collaborators of the Sato-Tate conjecture via a weak form of functoriality.

Langlands'  
functoriality  
conjecture

Endoscopy theory  
and applications

The trace formula  
and local harmonic  
analysis

The Hitchin  
fibration

# Known approaches

- ▶ Analytic method via the converse theorem and integral representation of  $L$ -functions.
- ▶  $p$ -adic method : recent proof due to Taylor and collaborators of the Sato-Tate conjecture via a weak form of functoriality.
- ▶ Endoscopy theory via the stabilization of the trace formula : Arthur, ...

Langlands'  
functoriality  
conjecture

Endoscopy theory  
and applications

The trace formula  
and local harmonic  
analysis

The Hitchin  
fibration

# $SL_2(\mathbb{R})$

- ▶ The restriction of discrete series representations of  $GL_2(\mathbb{R})$  to  $SL_2(\mathbb{R})$  are reducible.

Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

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- ▶ Its constituents have the same Langlands parameter  $L_{\mathbb{R}} \rightarrow GL_2(\mathbb{R}) \rightarrow PGL_2(\mathbb{R})$ .

Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

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- ▶ They belong to the same **packet** of representations.

Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

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- ▶ They belong to the same **packet** of representations.
- ▶ Rotations of angle  $\theta$  and  $-\theta$  are not conjugate in  $SL_2(\mathbb{R})$  but become conjugate in either  $GL(2, \mathbb{R})$  or  $SL_2(\mathbb{C})$ . They are **stably conjugate**.

Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

# Local packet

- ▶ Local packet is the finite set of representations of  $G(F_v)$  parametrized by a given homomorphism  $\phi_v : L_{F_v} \rightarrow \hat{G}$ .

Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

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- ▶ Let  $S_{\phi_v}$  denote the centralizer of  $\phi_v$  in  $\hat{G}$  and let  $\mathcal{S}_{\phi_v} = S_{\phi_v} / S_{\phi_v}^0 Z_{\hat{G}}$ . ( $G$  is supposed split)

Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration



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- ▶ There should be a natural bijection between the packet  $\Pi_{\phi_v}$  and the set of irreducible representations of the finite group  $\mathcal{S}_{\phi_v}$ .

Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

# Global packet and multiplicity formula

- ▶ The global packet is by definition the restricted product of local packets  $\Pi_\phi = \prod' \Pi_{\phi_v}$ .

Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

# Global packet and multiplicity formula

- ▶ The global packet is by definition the restricted product of local packets  $\Pi_\phi = \prod' \Pi_{\phi_v}$ .
- ▶ Question : which member of of the global packet occurs in the automorphic spectrum and with which multiplicity ?

Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

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- ▶ Kottwitz defined for every global parameter  $\phi : L_F \rightarrow \hat{G}$  a finite group  $\mathcal{S}_\phi$  together with homomorphism  $\mathcal{S}_{\phi_v} \rightarrow \mathcal{S}$ .

Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

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- ▶ Kottwitz defined for every global parameter  $\phi : L_F \rightarrow \hat{G}$  a finite group  $\mathcal{S}_\phi$  together with homomorphism  $\mathcal{S}_{\phi_v} \rightarrow \mathcal{S}$ .
- ▶ Conjectural multiplicity formula for a representation  $\pi = \otimes \pi_v$  in the packet  $\Pi_\phi$

$$m(\pi, \phi) = |\mathcal{S}_\phi|^{-1} \sum_{\epsilon \in \mathcal{S}_\phi} \prod \langle \epsilon_v, \pi_v \rangle.$$

Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

# Endoscopic idea

- ▶ Packet with multiplicity can be explained by the functoriality principle between  $G$  and certain smaller groups  $H$  : endoscopic group.

Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

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- ▶ For real groups, Shelstad proved remarkable identities between the sum of character of representations of  $H$  in a packet and certain linear combination of character of representations of  $G$  in the corresponding packet.

Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

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- ▶ For real groups, Shelstad proved remarkable identities between the sum of character of representations of  $H$  in a packet and certain linear combination of character of representations of  $G$  in the corresponding packet.
- ▶ Langlands suggested a strategy for proving these by using the trace formula : stabilization of the trace formula.

Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration



# Endoscopic groups

- ▶ Let  $s$  be semisimple element of  $\hat{G}$ . Assume  $\hat{G}_s$  has the same semisimple rank as  $G$  does.

Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

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- ▶ Let  $\hat{H} = \hat{G}_s^0$ . The dual group  $H$  is an endoscopic group of  $G$ .

Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

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- ▶ Let  $\hat{H} = \hat{G}_s^0$ . The dual group  $H$  is an endoscopic group of  $G$ .
- ▶ More general, the quasisplit forms induced by the outer action of  $\pi_0(\hat{G}_s)$  on  $\hat{H}$  are also endoscopic groups.

Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

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Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

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- ▶  $\mathrm{SO}(2n)$  is an endoscopic group of  $\mathrm{Sp}(2n)$ .
- ▶ For  $n = n_1 + n_2$ ,  $U(n_1) \times U(n_2)$  is an endoscopic group of  $U(n)$ .

Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

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- ▶  $SO(2n)$  is an endoscopic group of  $Sp(2n)$ .
- ▶ For  $n = n_1 + n_2$ ,  $U(n_1) \times U(n_2)$  is an endoscopic group of  $U(n)$ .
- ▶ Endoscopy theory consists in proving the functoriality from an endoscopic group  $H$  to  $G$  by comparison of trace formulas. The description of packets is a bonus.

Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

# Twisted endoscopic groups

- ▶ This slightly more general setting include very interesting cases of functoriality for the standard representation of classical groups.

Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

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- ▶  $SO(2n) \rightarrow GL(2n)$ ,  $Sp(2n) \rightarrow GL(2n + 1)$ ,  
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Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration



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Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

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- ▶ It also include the case of base change which is important in number theory.
- ▶ With base change, Langlands and Tunnel proved many cases of Artin conjecture. These case were used as a starting point in the proof of Shimura-Taniyama-Weil conjecture of Wiles and Taylor.

Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

- ▶ Via the stabilization of the trace formulas and the twisted trace formulas, Arthur proved the functoriality in the endoscopic and twisted endoscopic cases.

Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

# Arthur

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Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

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Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

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Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

# Construction of Galois representations attached to a selfdual automorphic representation

- ▶ Endoscopy is also used in the construction of Galois representations attached to selfdual automorphic representations.

Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

# Construction of Galois representations attached to a selfdual automorphic representation

- ▶ Endoscopy is also used in the construction of Galois representations attached to selfdual automorphic representations.
- ▶ A CM field  $F$  is a totally imaginary quadratic extension of a totally real number field  $F^+$ . The complex conjugation defines an involution  $c$  of  $F$ .

Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration



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- ▶ Let  $\Pi = \otimes_v \Pi_v$  be an automorphic representation of  $GL_n(\mathbb{A}_F)$  that is conjugate selfdual  $\Pi^\vee = \Pi \circ c$ .

Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

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- ▶ the component at infinity has the same infinitesimal character as some algebraic representation satisfying some regularity condition.

Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

# Construction of Galois representations attached to a selfdual automorphic representation

- ▶ There exists a continuous representations  $\sigma : \text{Gal}(\bar{F}/F) \rightarrow \text{GL}_n(\bar{\mathbb{Q}}_\ell)$  so that

Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

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Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

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Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

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- ▶ Clozel, Harris, Taylor, Yoshida, Labesse, Morel, Shin.

Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

# Shimura varieties attached to unitary groups

- ▶ There exists a unitary group  $U$  with respect to the quadratic extension  $F/F^+$  that gives rise to a Shimura variety and such that there exists an automorphic representation  $\pi$  of  $U$  whose base change to  $GL_n(F)$  is  $\Pi$ .

Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

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- ▶ The base change from  $U$  to  $\mathrm{GL}_n(F)$  is a case of twisted endoscopy.

Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration



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- ▶ The base change from  $U$  to  $\mathrm{GL}_n(F)$  is a case of twisted endoscopy.
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Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

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- ▶ Via  $\ell$ -adic cohomology of Shimura variety, one can attach to  $\pi$  a Galois representation.
- ▶ The Kottwitz formula for the number of points modulo  $p$  on this Shimura variety needs to be stabilized in the same way as the trace formula does.

Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

# Arthur-Selberg trace formula

- ▶ The trace formula has the form

$$\sum_{\pi} \mathrm{tr}_{\pi}(f) + \cdots = \sum_{\gamma} O_{\gamma}(f) + \cdots$$

where

Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

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Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

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Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

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Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

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- ▶ The test function is of the form  $f = \bigotimes_{\nu} f_{\nu}$  where  $f_{\nu}$  are smooth function with compact support on  $G(F_{\nu})$ . For almost all  $\nu$ ,  $f_{\nu}$  is the characteristic function of  $G(\mathcal{O}_{\nu})$ .

Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

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- ▶ The trace formula focuses our attention on the orbital integrals  $O_{\gamma}$ .

Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration



# Stable conjugacy classes

- ▶  $\gamma \in G(F)$  is strongly regular if its centralizer is a torus.

Langlands' functoriality conjecture

Endoscopy theory and applications

**The trace formula and local harmonic analysis**

The Hitchin fibration

# Stable conjugacy classes

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Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

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- ▶ Strongly regular elements  $\gamma, \gamma' \in G(F)$  are stably conjugate if and only if they have the same image in  $(T/W)(F)$ , where  $T$  is the maximal torus and  $W$  is the Weyl group.

Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

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- ▶ The conjugacy classes within the stable conjugacy class of  $\gamma$  are parametrized by a subset  $A_\gamma$  of  $H^1(F, I_\gamma)$ ,  $I_\gamma$  being the centralizer of  $\gamma$ .

Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

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- ▶ The conjugacy classes within the stable conjugacy class of  $\gamma$  are parametrized by a subset  $A_\gamma$  of  $H^1(F, I_\gamma)$ ,  $I_\gamma$  being the centralizer of  $\gamma$ .
- ▶ If  $F = F_v$  is a local non archimedean field,  $A_\gamma$  is a finite abelian group.

Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

# Stable distribution

- ▶ The integral over a stable conjugacy class

$$SO_{\gamma_v} = \sum_{\gamma'_v \sim^{st} \gamma_v} O_{\gamma'_v}(f)$$

is called stable orbital integral.

Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

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- ▶ The linear combinations

$$O_{\gamma_v}^{\kappa} = \sum_{\gamma'_v \sim^{st} \gamma_v} \langle \kappa, \text{cl}(\gamma') \rangle O_{\gamma'_v}(f)$$

attached to characters  $\kappa : A_{\gamma} \rightarrow \mathbb{C}^{\times}$  called  $\kappa$ -orbital integrals are also relevant.

Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

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- ▶ Stable distribution is a weak limit of finite combination of stable orbital integral.

Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration



# Local versus global stable conjugacy classes

- ▶ Let  $\gamma \in G(F)$  be a strongly regular element. For each place  $v$ , let  $\gamma'_v \in G(F_v)$  be stably conjugate to  $\gamma$ . There might not be  $\gamma' \in G(F)$  such that  $\gamma'$  is conjugate to  $\gamma'_v \in G(F_v)$ .

Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

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- ▶ The obstruction group is a finite abelian group that can be expressed in terms of Galois cohomology.

Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

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- ▶ The obstruction group is a finite abelian group that can be expressed in terms of Galois cohomology.
- ▶ The trace formula is not a stable distribution because of the local versus global obstruction.

Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

# Stabilization of the trace formula

- ▶ The trace formula can be expressed as a sum of a stable part (stable orbital integrals) and some "error" terms ( $\kappa$ -orbital integrals).

Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

# Stabilization of the trace formula

- ▶ The trace formula can be expressed as a sum of a stable part (stable orbital integrals) and some "error" terms ( $\kappa$ -orbital integrals).
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Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

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- ▶ The trace formula can be expressed as a sum of a stable part (stable orbital integrals) and some "error" terms ( $\kappa$ -orbital integrals).
- ▶ The main purpose of the stabilization is to compare the errors terms with the stable part of endoscopic groups.
- ▶ The problem can be reduced to a comparison between  $\kappa$ -orbital integrals on  $G$  with stable orbital integrals on endoscopic groups  $H$ .

Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

# Transfer of conjugacy classes

- ▶ By using Tate-Nakayama duality in Galois cohomology,  $\kappa$  corresponds to a semisimple conjugacy class of  $\hat{G}$ .

Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

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- ▶ By using Tate-Nakayama duality in Galois cohomology,  $\kappa$  corresponds to a semisimple conjugacy class of  $\hat{G}$ .
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Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration



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- ▶ Though in general  $H$  is not a subgroup of  $G$ , there is a canonical way of transferring stable conjugacy classes of  $H$  on stable conjugacy classes of  $G$

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Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

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- ▶ The reason is that  $G$  and  $H$  share the maximal torus and  $W_H \subset W$ .

Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

# Transfer conjecture and the fundamental lemma

- ▶ Transfer conjecture : For every smooth function  $f$  with compact support on  $G(F_v)$ , there exists a smooth function  $f^H$  with compact support on  $H(F_v)$  such that

$$\Delta(\gamma_H, \gamma) O_{\gamma}^{\kappa}(f) = SO_{\gamma_H}(f^H)$$

where  $\Delta(\gamma_H, \gamma)$  is the Langlands-Shelstad transfer factor, independent of  $f$ .

Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

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Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

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where  $\Delta(\gamma_H, \gamma)$  is the Langlands-Shelstad transfer factor, independent of  $f$ .

- ▶ Fundamental lemma : If both  $G$  and  $H$  are unramified at  $v$ , the above identity holds for  $f = 1_{G(\mathcal{O}_v)}$  and  $f^H = 1_{H(\mathcal{O}_v)}$ .

# The long march

- ▶ Shelstad proved the transfer in the case of real groups and gave the first solid evidence for the conjectures.

Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

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- ▶ Labesse and Langlands solved the case of  $SL_2$ , Rogawski the case of  $U(3)$ , Kottwitz the case of  $SL_3$  before Kazhdan and Waldspurger solved the case of  $SL_n$ . The case of  $Sp(4)$  was obtained by Hales, Schneider and Weissauer.

Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

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Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

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- ▶ Waldspurger proved that the transfer conjecture follows from the fundamental lemma.
- ▶ Waldspurger, Cluclers-Hales-Loeser proved by different method that the  $p$ -adic case of the fundamental lemma is equivalent to the case of formal series case, more accessible to the geometric methods.

Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration



# Geometric method

- ▶ Kazhdan and Lusztig introduced the affine Springer fiber that is the geometric incarnation of the local orbital integrals.

Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

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Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

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Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

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Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

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- ▶ The fundamental lemma is proved in general (NBC).

Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

# Geometric method

- ▶ Kazhdan and Lusztig introduced the affine Springer fiber that is the geometric incarnation of the local orbital integrals.
- ▶ Goresky, Kottwitz and MacPherson formulate the geometric fundamental lemma, and introduced the method of equivariant cohomology.
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- ▶ Laumon and NBC proved the fundamental lemma for unitary group.
- ▶ The fundamental lemma is proved in general (NBC).
- ▶ Laumon and Chaudouard proved the weighted fundamental lemma.

Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

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- ▶ The moduli space  $\text{Bun}_G$  of (stable) principal  $G$ -bundles over a smooth projective curve  $X$  of genus  $\geq 2$ .

Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

**The Hitchin fibration**

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Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration



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Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

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Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

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- ▶ Hamiltonian flow act as vector fields on the fibers of  $f$ .

Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

- ▶ Moduli space of pairs  $\mathcal{M} = \{(E, \phi)\}$  where  $E$  is a rank two vector bundle over  $X$  with trivialized determinant and

Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

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- ▶ a traceless twisted endomorphism  $\phi : E \rightarrow E \otimes K$ ,  $K$  being the canonical bundle of  $X$ .
- ▶ Hitchin fibration  $f : \mathcal{M} \rightarrow \mathcal{A}$  is given by

$$f(E, \phi) = \det(\phi) \in H^0(X, K^{\otimes 2}).$$

# Finite field case

- ▶ The number of points on  $\mathcal{M}$  with coefficients in a finite field has the form of the trace formula for Lie algebra.

Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

**The Hitchin fibration**

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Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration



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Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

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- ▶ The number of points on fibers of  $f$  can be expressed in terms of orbital integrals.
- ▶ Hamiltonian action can be integrated into an action of a group scheme.
- ▶ The endoscopy theory can be entirely translated to properties of the action of these groups.

Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

# Main geometric result

- ▶ The direct image  $Rf_*\mathbb{Q}_\ell$  is a pure complex according to Deligne's purity theorem.

Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

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Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

# Main geometric result

- ▶ The direct image  $Rf_*\mathbb{Q}_\ell$  is a pure complex according to Deligne's purity theorem.
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Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration

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- ▶ It is geometrically semisimple.
- ▶ Our main geometric result is a description of the support of simple perverse sheaves that occur as direct factors.
- ▶ The fundamental lemma follows.

Langlands' functoriality conjecture

Endoscopy theory and applications

The trace formula and local harmonic analysis

The Hitchin fibration